



Filing ID #10025410

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Sean Casten
Status: Congressional Candidate
State/District: IL06

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2018
Filing Date: 06/14/2019
Period Covered: 01/01/2017– 07/31/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Casten Family LLC ⇒ Casten Family LLC income [OT]		None	Capital Gains, Dividends, Interest	None	\$100,001 - \$1,000,000
DESCRIPTION: LLC income.					
Casten Shared Investments ⇒ Cash [BA]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ Casten Shared Investments Income [OT]		None	Dividends, Interest	None	\$100,001 - \$1,000,000
DESCRIPTION: LLC income					
Casten Shared Investments ⇒ DFA COMMODITY STRATEGY PORT INST [MF]		\$50,001 - \$100,000	None		
Casten Shared Investments ⇒ DFA EMERGING MKTS SMALL CAP PORT INSTL [MF]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ DFA GLBL REAL ESTATE SEC PORT INST [MF]		\$50,001 - \$100,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Casten Shared Investments ⇒ DFA INTL CORE EQTY PORT INSTL [MF]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ DFA INTL SMALL CAP VALUE PORT INSTL [MF]		\$1,001 - \$15,000	None		
Casten Shared Investments ⇒ DFA INTL SMALL CO PORT INSTL [MF]		\$1,001 - \$15,000	None		
Casten Shared Investments ⇒ DFA US SMALL CAP PORT INSTL [MF]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ DFA US TARGETED VALUE PORT INSTL [MF]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ Greenleaf Power LLC [OL] LOCATION: Sacramento, CA, US DESCRIPTION: California bioenergy company		\$250,001 - \$500,000	None		
Casten Shared Investments ⇒ HARTFORD SCHRODERS US SM CAP OPPTY I [MF]		\$50,001 - \$100,000	None		
Casten Shared Investments ⇒ IVY INTERNATIONAL CORE EQUITY CL I [MF]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ Rebel Industries RCP Fund XII, LP [HE]		\$50,001 - \$100,000	None		
Casten Shared Investments ⇒ Siguler Guff Capital Partners (Linx II), LLC [HE]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ TWEEDY BROWNE GLOBAL VALUE FUND [MF]		\$15,001 - \$50,000	None		
Casten Shared Investments ⇒ VANGUARD SHORT TERM INVESTMENT GRADE FUND ADMIRAL [MF]		\$250,001 - \$500,000	None		
Casten Shared Investments ⇒ VANGUARD TOTAL STOCK MKT INDEX FD		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
ADMIRAL SHARE [MF]					
Fidelity 401k ⇒ ABF SM Cap Val INV [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity 401k ⇒ FID Blue Chip GR [MF]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity 401k ⇒ FID Large Cap Stock [MF]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity 401k ⇒ FID Mid Cap Value [MF]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity 401k ⇒ FID Real Estate Invs [RE]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity 401k ⇒ GS International Equity Insights Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity 401k ⇒ Invesco Divrs Divd R5 [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ Invesco Int'l Growth R5 [MF]		\$15,001 - \$50,000	None		
Fidelity 401k ⇒ J H Enterprise A [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ Vang Lg Cap Idx ADM [MF]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity 401k ⇒ Vang MidCap Idx ADM [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ Vanguard Tot Int'l Stock AD [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401k ⇒ WF SM Co Growth ADM [MF]		\$1,001 - \$15,000	Tax-Deferred		
Schwab Acct ...373917 ⇒ Cash in checking acct [BA]	JT	\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Schwab Acct ...373917 ⇒ Division Street Capital DSC I Series 6 [RP] LOCATION: Chicago, IL, US DESCRIPTION: Multi-family residential property, Chicago, IL.	JT	\$15,001 - \$50,000	Rent	None	\$5,001 - \$15,000
Schwab Acct ...373917 ⇒ Personal loan to campaign [DO]	JT	\$500,001 - \$1,000,000	Interest	\$1 - \$200	None
Schwab Acct ...837263 ⇒ Cash in checking acct [BA]	JT	\$1 - \$1,000	None		
Schwab Acct 1625-3673 ⇒ AMERICAN FD GROWTH FD OF [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
Schwab Acct 1625-3673 ⇒ Cash in brokerage account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Schwab Acct 1625-3673 ⇒ INVESCO FTSE RAFI US [EF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Schwab Acct 1625-3673 ⇒ SCHWAB FUNDA EMG MKTS [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Schwab Acct 1625-3673 ⇒ SCHWAB FUNDAMENTAL INL [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Schwab Acct 1625-3673 ⇒ SCHWAB FUNDAMENTAL INTL [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Schwab Acct 1625-3673 ⇒ SCHWAB FUNDAMENTAL US [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ BJBHX (bond fund) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ Cash in brokerage account [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ Division St. Capital DSC LLC 1 Series 3 [RP] LOCATION: Chicago, IL, US DESCRIPTION: Multi-family residential property, Chicago, IL.		\$1,001 - \$15,000	Rent	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ GLENMEDE SMALL CAP EQTY [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ INVESCO FTSE RAFI US [EF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ SCHWAB FUNDAMENTAL EMER [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ SCHWAB FUNDAMENTAL INTL [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Zurich North America	Spouse Salary	N/A	N/A
Ironclad Energy Partners	Consulting and termination payments		\$393,379.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
President & CEO	Recycled Energy Development
COMMENTS: Sold company in September 2016; position does not apply thereafter.	
Consultant	Ironclad Energy Partners

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Casten Family LLC
DESCRIPTION: Family trust vehicle
- Casten Shared Investments
LOCATION: US
DESCRIPTION: Family LLC investment vehicle. Values shown reflect filer's share. Casten Family LLC, identified on prior reports, was subsumed into CSI at the end of 2017.
- Fidelity 401k
DESCRIPTION: Sean Casten 401K
- Schwab Acct ...373917 (100% Interest) (Owner: JT)
LOCATION: US
- Schwab Acct ...837263 (100% Interest) (Owner: JT)
LOCATION: US
- Schwab Acct 1625-3673 (100% Interest) (Owner: JT)
LOCATION: US
- Sean Casten 12/28/95 Trust (Schwab Acct ...1477) (100% Interest)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Sean Casten , 06/14/2019